OIPE JC147 JUL 1 8 2000 PATENT & TRADEMARK OFFICE

PATENT
Docket No. 285.0081 0102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Ty J. CASWELL et al.	Group Art Unit:	2732
Serial No.:	09/400,607	Examiner:	Unknown
Filed:	20 September 1999		

For: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION SYSTEM WITH CALLER ID

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Upon review of the Filing Receipt received from the U.S. Patent and Trademark Office in connection with the above-identified application, the following error was noted.

The Filing Date of 28 February 2000 that appears on the Filing Receipt is incorrect. The correct Filing Date is **20 September 1999** as evidenced by copies of the return receipt postcard (Exhibit A) and the Patent Application Transmittal (Exhibit B). In addition, a copy of the Filing Receipt with this correction marked in red is enclosed. Applicants respectfully request a corrected Filing Receipt.

If any fees are deemed necessary in this instance, please charge Deposit Account No. 13-4895. If the Examiner has any questions regarding this submission, please contact Applicants' Representative at the below-listed telephone number.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 12th day of July, 2000.

Mark J. Gebhardt

Respectfully submitted,
Ty J. CASWELL et al.,
By their Representatives,
Mueting, Raasch & Gebhardt, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415
Telephone (612)305-1220
Facsimile (612)305-1228

12 July 2000
Date

By: _____
Mark J. Gebhardt
Reg. No. 35,518
Direct Dial (612)305-1216

MJG/kjm

In re Patent Application of: Ty J. Caswell et al.
Title: COMPUTER[redacted]ED MULTIFUNCTION PERSONAL COMMUNIC[redacted]ON SYSTEM WITH CALLER ID
Attorney Docket No.: 400.081US2

CON

Receipt is hereby acknowledged for the following in the United States Patent and Trademark Office:

CONTENTS: UTILITY PATENT APPLICATION (CONTINUATION): Specification (88 pgs, including claims numbered 1 through 19 and a 1 page Abstract); Informal Drawing(s) (33 sheets); A Preliminary Amendment (2 pgs); return postcard and transmittal sheet.

FILED WITHOUT APPLICATION FILING FEE.

EXPRESS MAIL LABEL NO. EM287847203US
Mailed: September 20, 1999
TEB/gh

jc564 U.S. PTO
09/400607
09/20/99

EXHIBIT A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Ty J. Caswell et al.
Title: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION SYSTEM WITH CALLER ID
Attorney Docket No.: 400.081US2

PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the following attached items and information (as indicated with an "X"):

X **CONTINUATION** of prior Patent Application No. 08/338,340 (under 37 CFR § 1.53(b)) comprising:
- X Specification (88 pgs, including claims numbered 1 through 19 and a 1 page Abstract).
- X Informal Drawing(s) (33 sheets).
- X Incorporation by Reference: *The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.*

X A Preliminary Amendment (2 pgs).
X Small Entity Statement filed in prior application; status still proper and desired.
X Return postcard.

The filing fee (NOT ENCLOSED) will be calculated as follows:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	19 - 20 =	0	x 9 =	$0.00
INDEPENDENT CLAIMS	5 - 3 =	2	x 39 =	$78.00
[] MULTIPLE DEPENDENT CLAIMS PRESENTED				$0.00
BASIC FEE				$380.00
TOTAL				$458.00

THE FILING FEE WILL BE PAID UPON RECEIPT OF THE NOTICE TO FILE MISSING PARTS.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: T. E. Bianchi
Atty: Timothy E. Bianchi
Reg. No. 39,610

Customer Number 21186

"Express Mail" mailing label number: EM287847203US Date of Deposit: September 20, 1999
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

By: ~~Timothy E. Bianchi~~ GRETCHEN HANSON Signature:

EM287847203US

EXHIBIT B

PE JUL 18 2000 PATENT AND TRADEMARK OFFICE WC147

RECEIVED AUG 16 2000 TC 2100 MAIL ROOM

FILING RECEIPT

OC000000005120559

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/400,607	~~02/28/2000~~ 09/20/1999	2732	523	400.081US2	33	13	3

21186
SCHWEGMAN LUNDBERG WOESSNER & KLUTH
P O BOX 2938
MINNEAPOLIS, MN 55402

N/A

Date Mailed: 05/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

TY J. CASWELL, BIG LAKE, MN ;
JEFFREY P. DAVIS, HAM LAKE, MN ;
GREGORY R. JOHNSON, NEW BRIGHTON, MN ;
TIMOTHY J. REINARTS, RAMSEY, MN ;
TING SUN, MINNEAPOLIS, MN ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/338,340 11/10/1994 PAT 6,009,082
WHICH IS A CIP OF 08/002,467 01/08/1993 PAT 5,452,289

Foreign Applications

If Required, Foreign Filing License Granted 10/07/1999

**** SMALL ENTITY ****

Title

COMPUTER-BASED MULTIFUNCTIONAL PERSONAL COMMUNICATION SYSTEM WITH CALLER ID

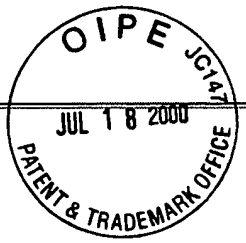
Preliminary Class

370

Schwegman, Lundberg, Woessner & Kluth, P.A.
MAY 30 2000
RECEIVED

Data entry by : WHITE, JACKIE **Team :** OIPE **Date:** 05/16/2000

RECEIVED
AUG 16 2000
TC 2700 MAIL ROOM



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "**a**," "**an**" and "**the**" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "**new**," "**improved**," "**improvements in**" or "**relating to**" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

FILE COPY

Bib Data Sheet

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/400,607	09/20/1999 RULE	370	2732	400.081US2

APPLICANTS

TY J. CASWELL, BIG LAKE, MN ;
JEFFREY P. DAVIS, HAM LAKE, MN ;
GREGORY R. JOHNSON, NEW BRIGHTON, MN ;
TIMOTHY J. REINARTS, RAMSEY, MN ;
TING SUN, MINNEAPOLIS, MN ;

** CONTINUING DATA **********

THIS APPLICATION IS A CON OF 08/338,340 11/10/1994 PAT 6,009,082
WHICH IS A CIP OF 08/002,467 01/08/1993 PAT 5,452,289

** FOREIGN APPLICATIONS **********

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
** 10/07/1999

Foreign Priority claimed	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
☐ yes ☐ no	MN	33	13	3
35 USC 119 (a-d) conditions met ☐ yes ☐ no ☐ Met after Allowance				
Verified and Acknowledged Examiner's Signature Initials				

ADDRESS

21186

TITLE

COMPUTER-BASED MULTIFUNCTIONAL PERSONAL COMMUNICATION SYSTEM WITH CALLER ID

FILING FEE RECEIVED	FEES: Authority has been given in Paper	
523	No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	☐ All Fees ☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other _____ ☐ Credit

FILE COPY Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 18 2000 PATENT & TRADEMARK OFFICE TC 1700

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AUG 16 2000
TC 2700 MAIL ROOM

#8

Applicant(s):	Ty J. CASWELL et al	Group Art Unit:	2732
Serial No.:	09/400,607	Examiner:	Unknown
Filed:	20 September 1999	Docket No.:	285.0081 0102

Title: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION SYSTEM WITH CALLER ID

Assistant Commissioner for Patents
Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

X A return postcard.
___ A Petition for Extension of Time for __ month(s) and a check in the amount of $___ for the required fee.
___ An Information Disclosure Statement (__ pgs); 1449 forms (___ pgs); and copies of ___ cited documents.
___ A check in the amount of $___, for __.
___ A certified copy of a _ application, Serial No. _, filed _____, the right of priority of which is claimed under 35 U.S.C. §119.
X Other: Revocation, Power of Attorney and Certificate under 37 C.F.R. §3.73(b) (2 pgs); Request for Corrected Filing Receipt (1 pg); Exhibit A (1 pg); Exhibit B (1 pg); copy of Filing Receipt (2 pgs)
___ Amendment ___ No Additional fee is required. ___ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x $9 =	$0
Independent Claims				x $39 =	$0
One or More New Multiple Dependent Claims Presented? If Yes, Add $130 Here →					$0
				Total Additional Claim Fees Required	$0

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

CERTIFICATE UNDER 37 C.F.R. §1.8 The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 12th day of July, 2000.

MUETING, RAASCH & GEBHARDT, P.A.
P.O. Box 581415, Minneapolis, MN 55458 (612-305-1220)

By: [signature]
Name: Mark J. Gebhardt
Reg. No.: 35,518
Direct Dial: 612-305-1216
Facsimile: 612-305-1228

MJG/kjm

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.8)